



Hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: August 30, 2006

Signature:

(Andrew T. Zidel)

Docket No.: SONYTA 3.3-139
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Asano et al.

Application No.: 09/937,120✓

Group Art Unit: 2131

Filed: December 17, 2001

Examiner: L. Chai

For: DATA PROCESSING APPARATUS AND
DATA PROCESSING METHOD

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. References AA, BG-BI, were cited on a European Search Report. A copy of the non-US references, and the search report, are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: August 30, 2006

Respectfully submitted,

By

Andrew T. Zidel

Registration No.: 45,256
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000
Attorney for Applicant

LD-4581

688989



PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/937,120-Conf. #6143
<i>(Use as many sheets as necessary)</i>				Filing Date	December 17, 2001
				First Named Inventor	Tomoyuki Asano
				Art Unit	2131
				Examiner Name	L. Chai
Sheet	1	of	1	Attorney Docket Number	SONYTA 3.3-139

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AA*	US-5,450,489		09-12-1995	Ostrover et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
	BA	WO-99/50992	10-07-1999	Matsushita Electric Ind Co Ltd et al.	
	BB	JP-2000-181803	06-30-2000	Fujitsu Ltd	**
	BC	JP-2000-330870	11-30-2000	Tokyo Shibaura Electric Co	**
	BD	JP-2000-341265	12-08-2000	Matsushita Electric Ind Co Ltd	**
	BE	JP-2000-285026	10-13-2000	Ricoh Kk	**
	BF	JP-2000-196582	07-14-2000	Nippon Telegraph & Telephone	**
	BG	EP-0 851 418	07-01-1998	Tokyo Shibaura Electric Co	
	BH	JP-11-008618	01-12-1999	Tokyo Shibaura Electric Co	**
	BI	WO-97/43761	11-20-1997	Intertrust Tech Corp et al.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(ii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

** - with english abstract.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

688988